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00727 U.S. PTO  
10/722007

112503

**UTILITY  
PATENT APPLICATION  
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.	1784-P3006.001
First Inventor	Diehl, Jochen et al.
Title	Method and Device for Edge-Machining of a Plastic Optical Lens and a Combination Tool Therefor
Express Mail Label No.	EV 027472715 US

**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents.

**ADDRESS TO:**Mail Stop Patent Application  
Commissioner for Patents  
P.O. Box 1450  
Alexandria VA 22313-1450

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original and a duplicate for fee processing)
2. ☒ Applicant claims small entity status.  
See 37 CFR 1.27.
3. ☒ Specification [Total Pages 36]  
(preferred arrangement set forth below)
  - Descriptive title of the invention
  - Cross Reference to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to sequence listing, a table, or a computer program listing appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 15]
5. Oath or Declaration [Total Sheets 4]
  - a. ☐ Newly executed (original or copy)
  - b. ☐ Copy from a prior application (37 CFR 1.63(d))  
(for continuation/divisional with Box 18 completed)
  - i. ☐ **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s) name in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet. See 37 CFR 1.76

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
  - a. ☐ Computer Readable Form (CRF)
  - b. Specification Sequence Listing on:
    - i. ☐ CD-ROM or CD-R (2 copies); or
    - ii. ☐ Paper
  - c. ☐ Statements verifying identity of above copies

**ACCOMPANYING APPLICATION PARTS**

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement ☐ Power of Attorney  
(when there is an assignee)
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
13. ☒ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
15. ☒ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
16. ☐ Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17. ☐ Other: .....

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in the first sentence of the specification following the title, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation    ☐ Divisional    ☐ Continuation-in-part (CIP)    of prior application No.: .....

Prior application information:

Examiner

Art Unit:

For CONTINUATION OF DIVISIONAL APPS only; The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

**19. CORRESPONDENCE ADDRESS**
☒ Customer Number: 23399    OR    ☒ Correspondence address below

Name	Reising, Ethington, Barnes, Kisselle, P.C.		
Address	201 W. Big Beaver, Ste. 400 P.O. Box 4390		
City	Troy	State	Michigan
Country		Zip Code	48099-4390
	Telephone		Fax

Name (Print/Type)	Steven J. Permut	Registration No. (Attorney/Agent)	28,388
Signature	<i>Steven J. Permut</i>	Date	11/25/03

This collection of information is required by 37 CFR 1.53(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

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U.S. PTO

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PTO/SB/17 (10-03)  
Approved for use through 07/31/2006. OMB 0651-0032  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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# FEE TRANSMITTAL for FY 2004

Effective 10/01/2003. Patent fees are subject to annual revision.

☒ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$ ) 385

## Complete if Known

Application Number	
Filing Date	
First Named Inventor	Diehl, Jochen et al.
Examiner Name	
Art Unit	
Attorney Docket No.	1784-P3006.001

## METHOD OF PAYMENT (check all that apply)

☒ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None

☐ Deposit Account:

Deposit Account Number: 50-0852

Deposit Account Name: Reising, Ethington,

The Director is authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☒ Credit any overpayments

☒ Charge any additional fee(s) or any underpayment of fee(s)

☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

## FEE CALCULATION (continued)

3. ADDITIONAL FEES									
Large Entity		Small Entity		Fee Code		Fee (\$)		Fee Description	
1051	130	2051	65	1051	130	2051	65	Surcharge - late filing fee or oath	Fee Paid
1052	50	2052	25	1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet	
1053	130	1053	130	1053	130	1053	130	Non-English specification	
1812	2,520	1812	2,520	1812	2,520	1812	2,520	For filing a request for ex parte reexamination	
1804	920*	1804	920*	1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
1805	1,840*	1805	1,840*	1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
1251	110	2251	55	1251	110	2251	55	Extension for reply within first month	
1252	420	2252	210	1252	420	2252	210	Extension for reply within second month	
1253	950	2253	475	1253	950	2253	475	Extension for reply within third month	
1254	1,480	2254	740	1254	1,480	2254	740	Extension for reply within fourth month	
1255	2,010	2255	1,005	1255	2,010	2255	1,005	Extension for reply within fifth month	
1401	330	2401	165	1401	330	2401	165	Notice of Appeal	
1402	330	2402	165	1402	330	2402	165	Filing a brief in support of an appeal	
1403	290	2403	145	1403	290	2403	145	Request for oral hearing	
1451	1,510	1451	1,510	1451	1,510	1451	1,510	Petition to institute a public use proceeding	
1452	110	2452	55	1452	110	2452	55	Petition to revive - unavoidable	
1453	1,330	2453	665	1453	1,330	2453	665	Petition to revive - unintentional	
1501	1,330	2501	665	1501	1,330	2501	665	Utility issue fee (or reissue)	
1502	480	2502	240	1502	480	2502	240	Design issue fee	
1503	640	2503	320	1503	640	2503	320	Plant issue fee	
1460	130	1460	130	1460	130	1460	130	Petitions to the Commissioner	
1807	50	1807	50	1807	50	1807	50	Processing fee under 37 CFR 1.17(q)	
1806	180	1806	180	1806	180	1806	180	Submission of Information Disclosure Stmt	
8021	40	8021	40	8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
1809	770	2809	385	1809	770	2809	385	Filing a submission after final rejection (37 CFR 1.129(a))	
1810	770	2810	385	1810	770	2810	385	For each additional invention to be examined (37 CFR 1.129(b))	
1801	770	2801	385	1801	770	2801	385	Request for Continued Examination (RCE)	
1802	900	1802	900	1802	900	1802	900	Request for expedited examination of a design application	

Other fee (specify)

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ )

## FEE CALCULATION

### 1. BASIC FILING FEE

Large Entity		Small Entity					
Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description		Fee Paid	
1001	770	2001	385	Utility filing fee		385	
1002	340	2002	170	Design filing fee			
1003	530	2003	265	Plant filing fee			
1004	770	2004	385	Reissue filing fee			
1005	160	2005	80	Provisional filing fee			
SUBTOTAL (1)						(\$ ) 385	

### 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims: 20 -20\*\* =  X  =

Independent Claims: 3 -3\*\* =  X  =

Multiple Dependent:  X  =

Large Entity		Small Entity					
Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description		Fee Paid	
1202	18	2202	9	Claims in excess of 20			
1201	86	2201	43	Independent claims in excess of 3			
1203	290	2203	145	Multiple dependent claim, if not paid			
1204	86	2204	43	** Reissue independent claims over original patent			
1205	18	2205	9	** Reissue claims in excess of 20 and over original patent			
SUBTOTAL (2)						(\$ )	

\*\*or number previously paid, if greater; For Reissues, see above

## SUBMITTED BY

Name (Print/Type)	Steven L. Permut	Registration No. (Attorney/Agent)	28,388	Telephone	248 689-3500
Signature		Date	11/25/03		

**WARNING:** Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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